



Receipt

Docket No. 3869-10

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Michael Berthon-Jones  
Serial No. : 09/661,998 Examiner : TBA  
Filed : September 14, 2000 Group Art Unit:: 3761  
For : VENTILATOR SYNCHRONIZATION USING  
DUAL PHASE SENSORS

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**CERTIFICATE OF MAILING (37 C.F.R. 1.8a)**

I hereby certify that the attached:

1. Second Request to Issue Corrected Filing Receipt (2 pages);
2. Copy of the previous Request for corrected filing Receipt (2 pages) along with accompanying transmittal papers;
3. Copy of the Filing Receipt; and
4. Return Receipt Postcard.

(Along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date indicated below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Respectfully submitted  
GOTTLIEB, RACKMAN & REISMAN, P.C.

Dated: 08.20.01.

Zoya Chernina  
Zoya V. Chernina

GOTTLIEB, RACKMAN & REISMAN, P.C.  
270 Madison Avenue  
New York, N.Y. 10016-0601  
Phone: (212) 684-3900  
Facsimile: (212) 684-3999

S:\zoya\applic\certif. of Mailing, request for cor.filing rec

RECEIVED  
AUG 31 2001  
TECHNOLOGY CENTER 3700



3869/10

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Michael Berthon-Jones  
Serial No. : 09/661,998  
Filing Date : September 14, 2000  
Title : VENTILATOR SYNCHRONIZATION USING  
DUAL PHASE SENSORS

CERTIFICATE OF FACSIMILE

I hereby certify that this Request for Corrected Filing Receipt is being transmitted to the United States Patent and Trademark Office on:

Date of Transmission: November 9, 2000

Name of Certifier: Cozetta Eggleston

Signature of Certifier: *Cozetta Eggleston*

Date of Signature: November 9, 2000

RECEIVED  
AUG 31 2001  
TECHNOLOGY CENTER 3700



3869/10

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Michael Berthon-Jones  
Serial No. : 09/661,998  
Filing Date : September 14, 2000  
Title : VENTILATOR SYNCHRONIZATION USING  
DUAL PHASE SENSORS  
Examiner : N/A  
Group Art Unit : 3761

**REQUEST FOR CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

The Applicant wishes to bring to the attention of the Examiner that reference to the provisional application Serial No. 60/154,196, filed 9/15/99, from which the above-identified non-provisional application claims priority, was not noted on the Filing Receipt dated November 3, 2000.

The provisional application data was noted on the Declaration and Power of Attorney that was submitted at the time the non-provisional application was filed.

The Applicant respectfully requests that a corrected Filing Receipt be issued.

Respectfully submitted,

GOTTLIEB, RACKMAN & REISMAN, P.C.  
Attorneys for Applicant  
270 Madison Avenue  
New York, New York 10016  
(212) 684-3900

Michael I. Rackman  
Reg. No. 20,639

Dated: November 9, 2000  
New York, New York

RECEIVED  
AUG 31 2001  
TECHNOLOGY CENTER 3700



Attorney Docket No. 3869-010

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant(s)** : Michael Berthon-Jones  
**Serial No.** : 09/661,998 **Examiner** : TBA  
**Filed** : September 14, 2000 **Group Art Unit::** 376  
**For** : VENTILATOR SYNCHRONIZATION USING  
DUAL PHASE SENSORS

Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

RECEIVED  
AUG 31 2001  
TECHNOLOGY CENTER 3700

**SECOND REQUEST TO ISSUE  
CORRECTED FILING RECEIPT**

Sir:

On November 3, 2000 the Filing Receipt was issued in the above-identified patent application. However, the Filing Receipt did not include reference to the Provisional Application No. 60/154,196, filed September 15, 1999, from which the instant application claims priority. A previous Request for Corrected Filing Receipt was faxed to the US PTO Customer Service (copy of the communication, including a copy of the transmission verification report, is enclosed). No corrected Filing Receipt has been received.

Accordingly, Applicant respectfully requests that a corrected Filing Receipt be issued for the above-identified patent application (or that a duplicate thereof be furnished to the applicant's undersigned counsel).


No fee is believed necessary in connection with the filing of this communication. If any fee is required, authorization is hereby given to charge the amount of any such fee to the Deposit

Docket No. 3869-010

Account No. 07-1730, Docket No. 3869-010. A duplicate copy of this communication is attached for that purpose.

Respectfully submitted  
Gottlieb, Rackman & Reisman, P.C.  
Attorneys for Applicant  
270 Madison Avenue  
New York, N.Y. 10016  
(212) 684-3900

Dated: 8/20/01

By:   
Raymond B. Churchill, Jr.  
Registration No. 44,617

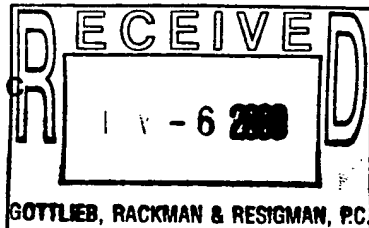


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/661,998         | 09/14/2000  | 3761         | 1128          | 3869/10         | 2        | 40         | 4          |

Gottlieb Rackman & Reisman P.C.  
270 Madison Ave  
New York, NY 10016



## FILING RECEIPT



\*OC000000005528570\*

Date Mailed: 11/03/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael Berthon Jones, Leonay, AUSTRALIA;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 11/02/2000

## Title

Patient-ventilator synchronization using dual phase sensors

## Preliminary Class

128

RECEIVED  
AUG 31 2001  
TECHNOLOGY CENTER 3700

Data entry by : ROBINSON, YOLANDA

Team : OIPE

Date: 11/03/2000





GOTTlieb, RACKMAN & REISMAN, P.C.  
270 Madison Avenue  
8th Fl or  
New York, New York 10016-0601  
Telephone : (212) 684-3900  
Fax: (212) 684-3999

**FACSIMILE COVER SHEET**

**CONFIDENTIALITY NOTE:** The information contained in this facsimile message is privileged and confidential, intended only for the use of the individual or entity named below. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copy of this telecopy is strictly prohibited. If you have received this telecopy in error, please immediately notify us by telephone and return the original message to us at the address listed above via the United States Postal Service. We will reimburse for postage on all such returned messages.

**TO:** Attn: Bernon  
Customer Service

**COMPANY:** United States Patent and Trademark Office

**FAX NO.** (703) 308-7751

**FROM:** GOTTLIEB, RACKMAN & REISMAM, P.C.  
Michael I. Rackman, Esq.

We are sending a communication of 3 page(s) (including this cover sheet). Please call (212) 684-3900 immediately if transmission is interrupted or of poor quality.

**Client/Matter No.:**  
3869/10

November 9, 2000

**MESSAGE:**

RECEIVED  
AUG 31 2001  
TECHNOLOGY CENTER 3700